

PCTWORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : G11B 7/24	A1	(11) International Publication Number: WO 98/47141
		(43) International Publication Date: 22 October 1998 (22.10.98)
<p>(21) International Application Number: PCT/US98/07753</p> <p>(22) International Filing Date: 16 April 1998 (16.04.98)</p> <p>(30) Priority Data: 60/041,994 16 April 1997 (16.04.97) US</p> <p>(71) Applicant: DIGITAL PAPYRUS CORPORATION [US/US]; Suite 440, 2025 Gateway Place, San Jose, CA 95110 (US).</p> <p>(72) Inventors: LEE, Neville, K., S.; Dept. of IEEM, Hong Kong University of Science and Technology, Clear Water Bay, Kowloon, Hong Kong (CN). BERG, John, S.; 175 Blackstone Street, Bellingham, MA 02019 (US). SHIEH, David, H., P.; Institute of Electro-Optical Engineering, National Chiao Tung University, 1001 Ta Hsueh Road, Hsin-Chu (TW). HO, Easen; Suite 440, 2025 Gateway Place, San Jose, CA 95110 (US). LEUNG, Chak; Suite 440, 2025 Gateway Place, San Jose, CA 95110 (US). WEI, Bo; Suite 440, 2025 Gateway Place, San Jose, CA 95110 (US).</p> <p>(74) Agent: ENGELSON, Gary, S.; Wolf, Greenfield & Sacks, P.C., 600 Atlantic Avenue, Boston, MA 02210 (US).</p>	<p>(81) Designated States: CN, JP, KR, SG, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</p> <p>Published With international search report.</p>	

(54) Title: PHASE CHANGE MEDIA COMPATIBLE WITH AIR BEARING FLYING HEAD

LUBRICANT 111
DIELECTRIC LAYER 102
INFORMATION CARRYING LAYER
107
DIELECTRIC LAYER 105
REFLECTIVE LAYER 103
BASE LAYER 102

SUBSTRATE 101

(57) Abstract

A phase change optical medium compatible with a flying head can include a substrate, a reflective layer, a first dielectric layer, a phase change information carrying layer, a second dielectric layer and a lubricant layer. The structure and materials used both protect the phase change layer from mechanical damage due to impact and from information-altering heat generated by impact.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

WO 98/47141

PCT/US98/07753

- 1 -

PHASE CHANGE MEDIA COMPATIBLE WITH AIR BEARING FLYING HEAD**FIELD OF THE INVENTION**

The present invention relates generally to the field of optical recording media. More particularly, the invention relates to optical media in which information is carried in a layer of phase change material.

BACKGROUND OF THE INVENTION

There are now several different common types of optical media for storing information. The information stored on such media may include music signals, computer data, text, images, video and movies, etc. Among the common types of optical media are media based upon phase change (PC) materials.

In the context of optical recording media, PC materials are materials which can be made to exist stably at room-temperature, and within a useful operating and storage range about room temperature, in at least two distinguishable forms. For example, some PC materials can be made to exist alternatively in a stable amorphous state and in a stable crystalline state. These stable states can be distinguished using optical recording and reading apparatus, for example on the basis of differing reflectivities.

PC materials can be made to change state from one stable state to another stable state by heating them. Some such materials irreversibly change state when heated, while others change from one state to another when heated a first amount and change back when heated a second, different, amount. The heat is usually applied by a laser light source, which can also be used, at a lower output power level to detect in which state the material was most recently left. Since heat is used to change the state of the material for the purpose of recording information therein, the layer is sensitive to extremes of heat, which can cause information to be altered or lost.

In optical recording systems, such as disk drives, the recording medium, i.e. disk, is made to spin at a high speed, e.g. 3,600 RPM, while an optical system directs a laser beam onto the PC layer for reading and writing information therein. Impact or contact with the surface of such a rapidly spinning disk can generate sufficient heat to cause alteration or loss of the information recorded in the vicinity of the impact or contact. Therefore, common PC media locate the layer of PC material beneath and protected by the substrate which provides substantial mechanical

WO 98/47141

PCT/US98/07753

- 2 -

support for the media. Locating the PC material in a position so that the laser must pass through the substrate affects the optical system used to focus the laser.

Disk drives for use with common PC media employ an optical system which locates the closest element to the spinning disk at a substantial, mechanically fixed distance from the disk.

5 The mechanism which holds the closest element at the proper mechanically fixed distance from the disk is known as a non-flying head, to distinguish it from a mechanism used in connection with many magnetic and magneto-optical media known as a flying head.

A flying head maintains a substantially closer spacing from the spinning disk by using aerodynamic principals to "fly" over the surface of the disk. However, there is a substantial risk
10 that a flying head will inadvertently contact the surface of the disk, causing information to be altered or lost. Moreover, a flying head compatible with focussing the laser through the substrate, called substrate incident operation, would not be capable of carrying a sufficiently large lens and also following perturbations of the disk surface called vertical runout. Hence, flying heads have not been used in connection with PC media. One system, disclosed by Ukita et
15 al. in "Supersmall flying optical head for phase change recording media," Applied Optics, Vol. 28, No. 20, October 15, 1989, employs a flying head which does not focus the laser through the substrate, called air incident operation. However, this head is made to fly at a height of a few micrometers, stated to be ten times greater than the flying height of a magnetic head. The medium used, however, is substantially unprotected from impacts by the head.

20

SUMMARY OF THE INVENTION

It is an object of the present invention to provide an improved phase change medium compatible with a flying head. Other objects of the invention and features of embodiments
25 thereof will be apparent to those skilled in this art upon reading this description.

According to one embodiment of the invention, there is a recording medium including a phase change layer in which information is recorded and a protective layer including a lubricant layer dissipative of heat. According to another embodiment, there is a recording medium comprising: a substrate; a phase change layer in which information is recorded; and a heat
30 dissipating lubricant layer. Finally, there is, according to yet another embodiment of the invention, a recording medium comprising: a phase change layer in which information is recorded; and a lubricant layer compatible with a flying head disposed on the phase change layer.

WO 98/47141

PCT/US98/07753

- 3 -

BRIEF DESCRIPTION OF THE DRAWING

In the drawing, in which like reference designations indicate like elements:

5 Fig. 1 is a schematic representation of the structure of an embodiment of a recording medium in accordance with various options and aspects of the invention;

Fig. 2 is a schematic representation of another embodiment of a recording medium in accordance with various options and aspects of the invention; and

10 Fig. 3 is a schematic representation of yet another embodiment of a recording medium in accordance with various options and aspects of the invention.

DETAILED DESCRIPTION

The present invention will be better understood upon reading the following detailed description of some embodiments thereof, in conjunction with the accompanying drawing.

15 Referring now to Fig. 1, one embodiment is now briefly described. The medium is constructed on a substrate 101 of a mechanically sound material. The layers of the medium (including some optional layers discussed below), in the order in which they are disposed on the substrate 101, include an optional base layer 102, an optional reflective layer 103, a first dielectric layer 105, a phase change layer 107 also referred to as the information carrying layer
20 107, a second dielectric layer 109 and a lubrication layer 111. The layers may be disposed on both sides of substrate 101, in mirror-image relationship to each other, to form a two-sided recording medium. The disk drive for use with this medium includes a laser light source having a wavelength (λ) in air of 680nm. Lasers typically used with phase change media have wavelengths of $625\text{nm} < \lambda < 685\text{nm}$, although wavelengths outside this range could be used with
25 properly designed media. The desired properties and physical characteristics of the layers is now discussed in greater detail.

The information carrying layer 107 may be constructed of any one of a variety of known PC materials, such as the alloy of germanium, antimony and tellurium: $\text{Ge}_{21}\text{Sb}_{266}\text{Te}_{53}$. The material chosen for the illustrative embodiment is rewritable, but write-once and read-only
30 materials can also be used, as well as other rewritable materials. The materials chosen for the illustrative embodiment is an alloy of metals, but could also include such other elements or compounds as may be found to be useful in PC thin films. In this embodiment, the PC layer 107

WO 98/47141

PCT/US98/07753

- 4 -

is 20nm thick.

The information carrying layer 107 is protected above and below by dielectric layers 105, 109, which serve a number of useful purposes. The dielectric layers 105, 109 provide some mechanical protection for the information carrying layer 107, as well as efficiently dissipating heat. Heat is generated in the information carrying layer 107 when writing, i.e., intentionally altering the information recorded therein. However, heat generated at a location desired to be written can dissipate through the information carrying layer 107, altering adjacent locations, unless carried away efficiently, for example by the dielectric layers 105, 109. Good materials for dielectric layers 105, 109 include SiN, a mostly amorphous mixture of ZnS with SiO₂, a diamond-like coating, amorphous carbon or a thin film diamond layer. Some of these materials are amorphous solids, while others are single- or multi-crystalline solids. The amorphous materials exhibit excellent mechanical toughness, while the crystalline materials exhibit high thermal conductivity and high light transmissivity. Particularly useful material choices include amorphous SiN_x or the mixture of ZnS with SiO₂, or single-crystal diamond or diamond like material. A compound dielectric layer 109 can be used, having a base of ZnS mixed with SiO₂ on which SiN_x or SiO₂ is disposed.

Since the second dielectric layer 109 which is located above the information carrying layer 107 should be transparent, or nearly so, at the wavelength λ_n in the dielectric of operation of the laser used in the disk drive, the second dielectric layer 109 should preferably be about $\frac{1}{4}\lambda_n$ in thickness. Thus, in the embodiment of Fig. 1, the second dielectric layer 109 is about 120nm thick.

In contrast, the first dielectric layer 105, located below the information carrying layer 107, serves primarily to support and protect the information carrying layer 107. Being below the information carrying layer 107, the first dielectric layer 105 need not be as thick. Thus, in the illustrative embodiment, the first dielectric layer 105 is about 50nm thick. Using a thickness which differs (either greater than or less than) significantly from a thickness of about $\frac{1}{4}\lambda_n$ serves another useful purpose because such a layer will tend to be highly reflective. With the right choice of materials and thicknesses for the first dielectric layer 105, the reflective layer 103 can be omitted, with the first dielectric layer 105 serving also as a reflective layer.

Turning now to reflective layer 103, if it is used, this layer is preferably a metal layer, although it can also be a dielectric reflector. An 80nm thick film of a metal, such as aluminum, which adheres well to the substrate material is a good choice.

WO 98/47141

PCT/US98/07753

- 5 -

If the metal selected for the reflective layer 103 is one which does not adhere well to the substrate material, an additional optional base layer 102 can be used. The base layer 102 is selected to be compatible with both the metal reflective layer 103 (or the dielectric layer 105, if the reflective layer is omitted) and the substrate 101. For example, for a polycarbonate or ceramic substrate 101, chromium and silicon are good base layer materials, while silicon works well with glass substrates, as well. Aluminum oxide, silicon dioxide and a mixture of ZnS with SiO₂ also make good base layers. The base layer aids in adhesion of the structure to the substrate 101 while also improving the capacity of the structure to effectively dissipate unwanted heat.

The top layer of the embodiment of Fig. 1 is a lubrication layer 111. The lubrication layer 111 should preferably be of a material that has an ability to locally dissipate high energy, such as generated by an impact of a flying head with the medium surface. One material known to possess such a property is cyclic phosphazene (Dow Chemical), which is applied in a monomolecular or nearly monomolecular layer of about 5-20Å, so as to be optically negligible in thickness. Other useful lubricants include phosphazene groups, diamond, diamond-like carbon and amorphous carbon. The lubricant, in combination with the suggested dielectric materials provides a medium surface with a low coefficient of friction (reducing the energy of impact which must be dissipated), a high thermal conductivity (so as to dissipate heat efficiently, including the heat of impact with the medium surface) and of a proper optical thickness so that a flying head and conventional laser sources can be used.

The substrate 101 can be polycarbonate, other hydrocarbon polymers including opaque formulations, glass, metal or ceramic. There is no requirement that the substrate be transparent, as in substrate incident media, because as an air incident medium, the medium of this embodiment does not require the laser to pass through the substrate.

Each layer of the illustrative embodiment is applied to the structure assembled on the substrate 101 by one of the known techniques for applying a thin film of the particular material. Such techniques may include, but are not limited to sputtering, vapor deposition, plating and spin coating.

As shown in Fig. 2, another embodiment adds to the structure of Fig. 1 an overcoat 201, disposed between the dielectric layer 109 and the lubricant 111. As described above, the dielectric layer 109 can be a compound layer of SiN_x or SiO₂ on a base of a mixture of ZnS and SiO₂. The lubricant can be any of the liquid or solid lubricants mentioned above, including chromium, silicon, aluminum oxide, silicon dioxide and a mixture of ZnS with SiO₂. The

WO 98/47141

PCT/US98/07753

- 6 -

overcoat 201 is preferably a hard, durable, thin layer of one of the solid dielectric or lubricant materials including SiN_x , SiO_2 , diamond-like carbon, diamond or amorphous carbon.

As shown in Fig. 3, when an overcoat 201 is supplied which in combination with the rest of the structure provides suitable protection and heat dissipation characteristics for the
5 information carrying layer 107, the lubricant layer 111 may be omitted.

An advantageous arrangement of any of the foregoing embodiments is one in which the thicknesses of the layers on the information carrying layer, i.e., those through which the laser travels, combine to give an optical path length equivalent to $\lambda/4$ in free space. That is, the sum of the thicknesses expressed in units of λ_n for each material is $\lambda_n/4$.

10 Also advantageous is the construction of two-sided media employing the principles of the present invention, as briefly mentioned, above. One substrate 101 may be coated on both sides using conventional techniques. Two thinner substrates 101 may be each coated on one side and the two substrates 101 then bonded together to form a single medium. Alternatively, two very thin substrates 101 may each be coated on one side and then bonded to a thick, central substrate
15 (not shown) of any useful material, which need not be compatible with the process for forming the layers or transparent. Finally, one coated substrate 101 as described above can be bonded to a standard DVD-ROM, rewritable DVD or CD-ROM, providing a greater variety of interchange options.

Having now described a few embodiments of the invention, it should be apparent to those
20 skilled in the part that the foregoing is merely illustrative and not limiting, having been presented by way of example only. Numerous modifications and other embodiments are within the scope of one of ordinary skill in the art and are contemplated as falling within the scope of the invention as defined by the appended claims and equivalents thereto.

WO 98/47141

PCT/US98/07753

- 7 -

CLAIMS

1. A recording medium including a phase change layer in which information is recorded and
5 a protective layer including a lubricant layer dissipative of heat.
2. The recording medium of claim 1 in which the phase change layer is rewritable.
3. The recording medium of claim 1 in which the phase change layer is an alloy of GeSbTe.
10
4. The recording medium of claim 3 in which the alloy is $\text{Ge}_{21}\text{Sb}_{266}\text{Te}_{53}$.
5. The recording medium of claim 1, wherein the protective layer includes a layer of
dielectric material.
15
6. The recording medium of claim 5, for use with a laser light source having a wavelength
in the protective layer of λ_n , wherein the protective layer has a thickness of $\frac{1}{4} \lambda_n$.
7. The recording medium of claim 5, wherein the protective layer is made of at least one of
20 the group of SiN_x , ZnS and SiN_x on a base of one of ZnS and a mixture of ZnS and SiO_2 .
8. The recording medium of claim 1, wherein the protective layer includes a layer of a
single crystal material.
- 25 9. The recording medium of claim 1, wherein the protective layer includes a layer of a
diamond structured carbon.
10. The recording material of claim 1, wherein the protective layer includes a layer having a
diamond-like structure.
30
11. The recording medium of claim 1, wherein the lubricant layer is made of a material that
dissipates heat generated by an impact therewith.

WO 98/47141

PCT/US98/07753

- 8 -

12. The recording medium of claim 11, wherein the lubricant layer is a monomolecular layer.
13. The recording medium of claim 12, wherein the lubricant layer is cyclic phosphazene.
- 5 14. The recording medium of claim 1, further comprising a reflective layer, wherein the phase change layer is disposed on the reflective layer.
15. The recording medium of claim 14, wherein the reflective layer is made of a metal.
- 10 16. The recording medium of claim 15, wherein the metal is aluminum.
17. The recording medium of claim 14, wherein the reflective layer is made of a dielectric material.
- 15 18. The recording medium of claim 17, for use with a laser light source having a wavelength in the dielectric reflective layer of λ_n , wherein the dielectric reflective layer has a thickness greater than $\frac{1}{4} \lambda_n$.
19. The recording medium of claim 17, for use with a laser light source having a wavelength
20 in the dielectric reflective layer of λ_n , wherein the dielectric reflective layer has a thickness less than $\frac{1}{4} \lambda_n$.
20. The recording medium of claim 17, wherein the dielectric reflective layer is made from at least one of the group of SiN_x , ZnS and SiN_x on a base of one of ZnS and a mixture of ZnS and
25 SiO_2 .
21. The recording medium of claim 1, wherein the phase change layer and protective layer are disposed on a substrate made from one of the group of a polycarbonate, a hydrocarbon polymer, a glass, a metal and a ceramic.
- 30 22. The recording medium of claim 21, further comprising a base layer disposed between the substrate and the phase change layer.

WO 98/47141

PCT/US98/07753

- 9 -

23. The recording medium of claim 22, wherein the base layer is chromium.
24. The recording medium of claim 22, wherein the base layer is silicon.
- 5 25. The recording medium of claim 22, wherein the base layer is aluminum oxide.
26. The recording medium of claim 22, wherein the base layer is SiO₂.
27. The recording medium of claim 22, wherein the base layer is a mixture of ZnS and SiO₂.
- 10 28. A recording medium comprising:
a substrate;
a phase change layer in which information is recorded; and
a heat dissipating lubricant layer.
- 15 29. The recording medium of claim 28 in which the phase change layer is rewritable.
30. The recording medium of claim 28 in which the phase change layer is an alloy of GeSbTe.
- 20 31. The recording medium of claim 30 in which the alloy is Ge₂₁Sb₂₆₆Te₅₃.
32. The recording medium of claim 28, further comprising a heat dissipative layer of a dielectric material.
- 25 33. The recording medium of claim 32, for use with a laser light source having a wavelength in the heat dissipative layer of λ_n , wherein the heat dissipative layer has a thickness of $\frac{1}{4} \lambda_n$.
34. The recording medium of claim 32, wherein the heat dissipative layer is made of at least
30 one of the group of SiN_x, ZnS and SiN_x on a base of one of ZnS and a mixture of ZnS and SiO₂.
35. The recording medium of claim 28, wherein the heat dissipative layer includes a layer of

WO 98/47141

PCT/US98/07753

- 10 -

a single crystal material.

36. The recording medium of claim 28, wherein the heat dissipative layer includes a layer of a diamond structured carbon.

5

37. The recording material of claim 28, wherein the heat dissipative layer includes a layer of a diamond-like structure.

38. The recording medium of claim 28, wherein the lubricant layer is made of a material that
10 dissipates heat generated by an impact therewith.

39. The recording medium of claim 38, wherein the lubricant layer is a monomolecular layer.

40. The recording medium of claim 39, wherein the lubricant layer is cyclic phosphazene.

15

41. The recording medium of claim 28, further comprising a reflective layer, wherein the phase change layer is disposed on the reflective layer.

42. The recording medium of claim 41, wherein the reflective layer is made of a metal.

20

43. The recording medium of claim 42, wherein the metal is aluminum.

44. The recording medium of claim 41, wherein the reflective layer is made of a dielectric material.

25

45. The recording medium of claim 44, for use with a laser light source having a wavelength in the dielectric reflective layer of λ_n , wherein the dielectric reflective layer has a thickness greater than $\frac{1}{4}\lambda_n$.

30 46. The recording medium of claim 44, for use with a laser light source having a wavelength in the dielectric reflective layer of λ_n , wherein the dielectric reflective layer has a thickness less than $\frac{1}{4}\lambda_n$.

WO 98/47141

PCT/US98/07753

- 11 -

47. The recording medium of claim 44, wherein the dielectric reflective layer is made from at least one of the group of SiN_x , ZnS and SiN_x on a base of one of ZnS and a mixture of ZnS and SiO_2 .

5

48. The recording medium of claim 28, wherein the substrate is made of one of the group of a polycarbonate, a hydrocarbon polymer, a glass, a metal and a ceramic.

49. The recording medium of claim 48, further comprising a base layer disposed between the substrate and the phase change layer.

10

50. The recording medium of claim 49, wherein the base layer is chromium.

51. The recording medium of claim 49, wherein the base layer is silicon.

15

52. The recording medium of claim 49, wherein the base layer is aluminum oxide.

53. The recording medium of claim 49, wherein the base layer is SiO_2 .

20 54. The recording medium of claim 49, wherein the base layer is a mixture of ZnS and SiO_2 .

55. A recording medium comprising:
a phase change layer in which information is recorded; and
a lubricant layer compatible with a flying head disposed on the phase change layer.

25

56. The recording medium of claim 55 in which the phase change layer is rewritable.

57. The recording medium of claim 55 in which the phase change layer is an alloy of GeSbTe .

30

58. The recording medium of claim 57 in which the alloy is $\text{Ge}_{21}\text{Sb}_{266}\text{Te}_{53}$.

WO 98/47141

PCT/US98/07753

- 12 -

59. The recording medium of claim 55, further comprising a protective layer disposed between the phase change layer and the lubricant layer, the protective layer including a dielectric material.
- 5 60. The recording medium of claim 59, for use with a laser light source having a wavelength in the protective layer of λ_n , wherein the protective layer has a thickness of $\frac{1}{4} \lambda_n$.
61. The recording medium of claim 59, wherein the protective layer is made of at least one of the group of SiN_x , ZnS and SiN_x on a base of one of ZnS and a mixture of ZnS and SiO_2 .
- 10 62. The recording medium of claim 55, wherein the protective layer includes a layer of a single crystal material.
63. The recording medium of claim 55, wherein the protective layer includes a layer of diamond structured carbon.
- 15 64. The recording material of claim 55, wherein the protective layer includes a layer of a diamond-like structure.
- 20 65. The recording medium of claim 55, wherein the lubricant layer is made of a material that dissipates heat generated by an impact therewith.
66. The recording medium of claim 65, wherein the lubricant layer is a monomolecular layer.
- 25 67. The recording medium of claim 66, wherein the lubricant layer is cyclic phosphazene.
68. The recording medium of claim 55, further comprising a reflective layer, wherein the phase change layer is disposed on the reflective layer.
- 30 69. The recording medium of claim 68, wherein the reflective layer is made of a metal.
70. The recording medium of claim 69, wherein the metal is aluminum.

WO 98/47141

PCT/US98/07753

- 13 -

71. The recording medium of claim 68, wherein the reflective layer is made of a dielectric material.
72. The recording medium of claim 71, for use with a laser light source having a wavelength
5 in the dielectric reflective layer of λ_n , wherein the dielectric reflective layer has a thickness greater than $\frac{1}{4}\lambda_n$.
73. The recording medium of claim 71, for use with a laser light source having a wavelength
10 in the dielectric reflective layer of λ_n , wherein the dielectric reflective layer has a thickness less than $\frac{1}{4}\lambda_n$.
74. The recording medium of claim 71, wherein the dielectric reflective layer is made from at
least one of the group of SiN_x , ZnS and SiN_x on a base of one of ZnS and a mixture of ZnS and
 SiO_2 .
15
75. The recording medium of claim 55, wherein the phase change layer and protective layer
are disposed on a substrate made from one of the group of a polycarbonate, a hydrocarbon
polymer, a glass, a metal and a ceramic.
- 20 76. The recording medium of claim 75, further comprising a base layer disposed between the
substrate and the phase change layer.
77. The recording medium of claim 76, wherein the base layer is chromium.
- 25 78. The recording medium of claim 76, wherein the base layer is silicon.
79. The recording medium of claim 76, wherein the base layer is aluminum oxide.
80. The recording medium of claim 76, wherein the base layer is SiO_2 .
- 30 81. The recording medium of claim 76, wherein the base layer is a mixture of ZnS and SiO_2 .

WO 98/47141

PCT/US98/07753

- 14 -

82. A recording medium comprising in a layered structure in the order recited:
a substrate;
a first dielectric layer;
a phase change layer in which information is stored;
5 a second dielectric layer; and
an overcoat of a protective material.
83. A recording medium comprising in a layered structure in the order recited:
a substrate;
10 a first dielectric layer;
a phase change layer in which information is stored;
a second dielectric layer; and
a lubricant layer.
- 15 84. A recording medium comprising in a layered structure in the order recited:
a substrate;
a first dielectric layer;
a phase change layer in which information is stored;
a second dielectric layer;
20 a lubricant layer; and
an overcoat of a protective material.
85. A recording medium used with a laser light source, the medium comprising in a layered structure in the order recited:
25 a substrate;
a phase change layer in which information is stored; and
a protective layer, wherein the laser light source has a wavelength in the protective layer of λ_n and the protective layer has a thickness of $\leq \frac{1}{4}\lambda_n$.
- 30 86. A two-sided recording medium, comprising on a first side of a substrate, in a layered structure in the order recited:
a phase change layer in which information is stored; and

WO 98/47141

PCT/US98/07753

- 15 -

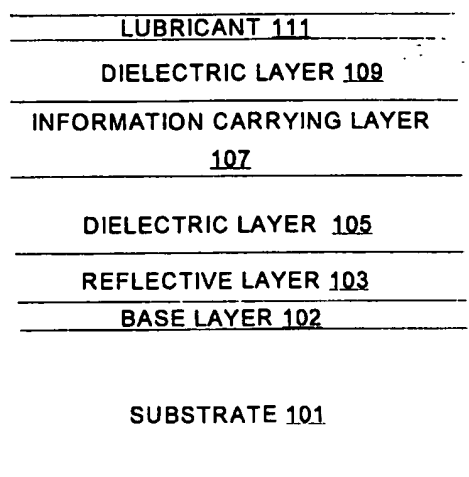
a protective layer, wherein the laser light source has a wavelength in the protective layer of λ_n and the protective layer has a thickness of $\leq \frac{1}{4}\lambda_n$.

87. The medium of claim 86, further comprising on a second side of the substrate, in a
5 layered structure in the order recited:
a phase change layer in which information is stored; and
a protective layer, wherein the laser light source has a wavelength in the protective layer of λ_n and the protective layer has a thickness of $\leq \frac{1}{4}\lambda_n$.
- 10 88. The medium of claim 86, further comprising a DVD bonded to a second side of the substrate.
89. The medium of claim 86, further comprising a CD bonded to a second side of the substrate.

WO 98/47141

PCT/US98/07753

1/3

*Fig. 1*

WO 98/47141

PCT/US98/07753

2/3

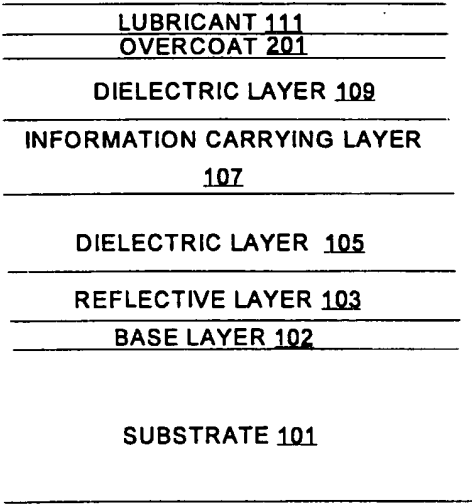
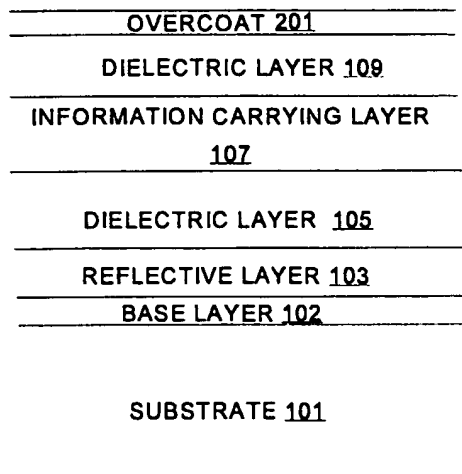


Fig. 2

WO 98/47141

PCT/US98/07753

3/3

*Fig. 3*

INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 98/07753

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 G11B7/24

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 G11B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4 237 468 A (NAHARA AKIRA ET AL) 2 December 1980	1, 2, 21, 28, 29, 48, 55, 56, 75
Y	see abstract see column 21, line 48 - column 5, line 58	3, 5-10, 15, 16, 22, 25, 26, 30, 32-37, 42, 43, 49, 52, 53, 57, 59-64, 69, 70, 76, 79, 80
	---	-/--

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"A" document member of the same patent family

Date of the actual completion of the international search

28 July 1998

Date of mailing of the international search report

06/08/1998

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Annibal, P

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 98/07753

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 410 704 A (MATSUSHITA ELECTRIC IND CO LTD) 30 January 1991 see column 9, line 20 - column 13, line 54; figure 4	82,85-87
Y		3,5-10, 15,16, 22,25, 26,30, 32-37, 42,43, 49,52, 53,57, 59-64, 69,70, 76,79,80
X	US 5 585 201 A (HA CHAU T) 17 December 1996 see column 1, line 17 - line 22 see column 1, line 51 - line 63 see column 2, line 36 - column 3, line 5; claims 1,2	1,2,5, 28,29, 55,56, 59,82,83
X	WO 93 26002 A (ICI PLC ;ADKINS KELVIN PATRICK (GB)) 23 December 1993 see page 7, line 16 - page 10, line 34	1,2,21, 22,55, 56,75,76
A		14-16, 68-70
X	US 4 860 273 A (SAWANO MITSURU ET AL) 22 August 1989 see column 9, line 16 - line 18 see column 9, line 37 - column 11, line 43	82,85-87
A		1-10, 14-16, 21-37, 41-43, 48-64, 68-70, 75-81
A	PATENT ABSTRACTS OF JAPAN vol. 018, no. 519 (P-1807), 29 September 1994 & JP 06 176401 A (HITACHI MAXELL LTD), 24 June 1994, see abstract	

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 98/07753

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 4237468 A	02-12-1980	JP 1228457 C JP 53083617 A JP 59005117 B DE 2757744 A	19-09-1984 24-07-1978 02-02-1984 06-07-1978
EP 0410704 A	30-01-1991	JP 3062321 A JP 3157817 A CA 2022005 A,C DE 69022792 D DE 69022792 T DE 69030960 D DE 69030960 T EP 0639830 A KR 9501234 B US 5255260 A	18-03-1991 05-07-1991 29-01-1991 09-11-1995 05-06-1996 24-07-1997 27-11-1997 22-02-1995 15-02-1995 19-10-1993
US 5585201 A	17-12-1996	DE 19621745 A JP 8329520 A	12-12-1996 13-12-1996
WO 9326002 A	23-12-1993	DE 69304178 D DE 69304178 T EP 0598096 A JP 6509900 T US 5475673 A	26-09-1996 23-01-1997 25-05-1994 02-11-1994 12-12-1995
US 4860273 A	22-08-1989	JP 63037994 A JP 63037995 A	18-02-1988 18-02-1988